

**Los Angeles City Employees' Retirement System
2008 Emerging Broker Dealer Service Profile**

No.	Organization Name	Services Provided	EQUITY		FIXED INCOME	
			Domestic	International	Domestic	International
1	AltruShare Securities	Institutional Brokerage, Research	yes	yes	no	no
2	Blaylock & Partners, LP	Institutional Brokerage, Banking/Underwriting, Research, Asset Management	yes	yes	yes	no
3	Bley Investment Group, Inc.	Institutional Brokerage, Retail Brokerage, Research, New Issue Agencies	yes	yes	yes	no
4	BOE Securities	Institutional Brokerage, Research	yes	yes	yes	no
5	Cabrera Capital Markets, Inc.	Institutional Brokerage, Municipal Bond Origination, Underwriting, Distribution & Trading, Taxable Fixed Income Underwriting	yes	yes	yes	yes
6	Castle Oak Securities, L.P.	Investment Banking, Domestic & International Equity and Fixed Income Sales & Trading	yes	yes	yes	yes
7	Cathay Financial	Institutional Brokerage, Research	yes	no	no	no
8	CMG Institutional Trading, LLC	Institutional Brokerage, Banking/Underwriting	yes	yes	yes	no
9	Derrick Walker International, Ltd.	Institutional Brokerage, Research, Retail Brokerage, Wealth Management	yes	yes	yes	yes
10	Divine Capital	Institutional and Retail Brokerage, Research & Capital Markets	yes	yes	yes	yes
11	Direct Access Partners, LLC	Institutional Brokerage, Research, Transition Management	yes	yes	no	no
12	Doley Securities, Inc.	Institutional Brokerage, Banking/Underwriting	yes	yes	yes	yes
13	East/West Securities	Retail Brokerage, Institutional Brokerage, Research	yes	yes	yes	yes
14	E.J. De La Rosa, Inc.	California Municipal Bond Origination, Underwriting & Secondary Marketing Sales and Trading	yes	no	no	no
15	Finacorp Securities	Brokerage, Transition Mgmt., Portfolio Valuation, Underwriting & Investment Advisory	yes	yes	yes	yes
16	Great Pacific Securities, Inc.	Institutional Brokerage, Underwriting, Mergers & Acquisitions, Restructuring; Advisory & Valuation	yes	yes	yes	yes
17	Greentree Brokerage Services, Inc.	Institutional Brokerage & Research	yes	yes	yes	yes
18	GRW Capital Corporation	Institutional Brokerage, Retail Brokerage, Banking/Underwriting, Research, Asset Management	yes	yes	yes	yes
19	Guzman & Company	Institutional Brokerage, Banking/Underwriting, Research	yes	yes	yes	yes
20	Harvest Capital Investments	Institutional Brokerage	yes	yes	yes	no
21	Harvestons Securities	Institutional Brokerage, Banking/Underwriting, Research, Retail Brokerage	yes	yes	yes	no
22	Ivy Securities, Inc.	Institutional Brokerage	yes	yes	no	no
23	Jackson Securities, LLC	Institutional Brokerage, Banking/Underwriting, Research, Retail Brokerage, Wealth Management	yes	yes	yes	yes
24	Lambright Financial Solutions	Institutional Brokerage	yes	yes	yes	no
25	Loop Capital Markets	Underwriting, Research and Trading	yes	yes	yes	no
26	Magna Securities Corp.	Institutional Brokerage, Transition Mgmt., Transaction Measurement, Research	yes	yes	yes	yes
27	Matrix USA, LLC.	Institutional Brokerage, Retail Brokerage, Asset Management, Private Equity, Research	yes	yes	yes	yes
28	Melvin & Company	Institutional Brokerage, Research, Banking/Underwriting	yes	yes	yes	yes
29	Mischler Financial Group	Institutional Brokerage	yes	yes	yes	no
30	M. Ramsey King Securities	Institutional Brokerage	yes	yes	no	no
31	M.R. Beal & CO	Institutional Brokerage, Corporate Finance	yes	yes	yes	no
32	Montrose Securities International	Institutional Brokerage	yes	yes	no	no
33	Multi Trade Securities, LLC	Institutional Brokerage, Domestic & International Equity & Fixed Income Trading	yes	yes	yes	yes
34	Pacific American Securities, LLC	Institutional Brokerage, Research & Retail Brokerage	yes	yes	yes	no
35	Penserra Securities LLC	Domestic & Internat'l Equity Sales & Trading	yes	yes	no	no
36	Popular Securities, Inc.	Investment Banking, Underwriting, Institutional Brokerage, and Investment Management	yes	no	yes	no
37	Ramirez & Company, Inc.	Wealth Management, Underwriting, Banking, Institutional Brokerage, Research, Asset Management	yes	yes	yes	yes
38	Robert & Ryan Investments Inc.	Domestic & International Equity and Fixed Income Sales & Trading	yes	yes	yes	yes
39	Robert Van Securities, Inc.	Institutional Brokerage, Research, Retail Brokerage	yes	yes	yes	no
40	Rumfolo and Associates Securites	Institutional Brokerage	yes	yes	yes	yes
41	SBK Brooks	Institutional Brokerage, Retail Brokerage, Research, Banking/Underwriting	yes	yes	yes	no
42	SL Hare Capital, Inc.	Institutional Brokerage, Research, Investment Banking	yes	yes	no	no
43	Siebert Capital Markets	Institutional & Retail Brokerage, Research, Banking/Underwriting, Transition Mgmt	yes	no	yes	no
44	Sturdivant & Co.	Institutional Brokerage, Banking/Underwriting, Research, Asset Management	yes	yes	no	no
45	The Williams Capital Group	Institutional Brokerage, Research, Underwriting	yes	yes	yes	yes
46	Toussaint Capital Partners, LLC	Institutional Brokerage	yes	no	yes	no
47	Walton Johnson & Company	Institutional Brokerage, Banking/Underwriting	yes	yes	yes	no
48	Zepeda & Company, Inc	Institutional Brokerage, Research, Banking/Underwriting	yes	yes	yes	yes